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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS: Vincent Formale, Markus Koestler, Jamie Randolph, Geetika Shinde, Andree' Taylor, Gerald Tieskoetter, and Lagray Wells

APPLICATION NO. As yet unassigned

FILED: Herewith

CASE: RSW920010215US1

TITLE: METHOD, SYSTEM, AND GRAPHIC USER INTERFACE
FOR AUTOMATED ASSET MANAGEMENT

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Christopher Ricco

Commissioner for Patents
Box Patent Application
Washington, DC 20231

Information Disclosure Statement
Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98

Sir:

Pursuant to Applicants' duty of disclosure set forth in 37 CFR §§1.56, 1.97 and 1.98, the following information is disclosed to aid the Examiner in his or her examination of the application. The inclusion of information in this Disclosure Statement and as set forth on the attached Forms PTO-1449 is not necessarily a concession that such information is prior art to the present invention or that such information is in an art area analogous to the present invention. Copies of the publications listed on the attached Form PTO-1449 are submitted herewith.

Docket No. RSW920010215US1

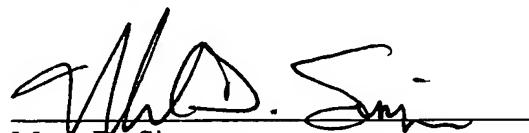
Application No. as yet unassigned

It is respectfully requested that the Examiner initial Forms PTO-1449 and return a copy of same upon consideration of these publications.

Since this Information Disclosure Statement is being filed with a new application, no fee or certification is required.

Respectfully submitted,

Date 2/26/02



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Form PTO-1449 Modified
 10/08/170
 02/26/02

Form PTO-1449 Modified		Docket No. RSW920010215US1	Application No. As yet unassigned	
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)		Applicant(s) V. Formale, M. Koestler, J. Randolph, G. Shinde, A. Taylor, G. Tieskoetter and L. Wells		
U.S. Department of Commerce Patent and Trademark Office		Filing Date Herewith	Group	

U.S. PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Name	Class	Subclass
	AA	5,870,733	02/09/1999	Bass et al.	707	2
	AB	6,064,736	05/16/2000	Davis et al.	380	21
	AC	6,104,393	08/15/2000	Santos-Gomez	345	336
	AD	6,148,340	11/14/2000	Bittinger et al.	709	224
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					

FOREIGN PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Country	Translation	
					Yes	No
	AL					
	AM					
	AN					
	AO					
	AP					
	AQ					
	AR					
Examiner		Date Considered				